



**GOVERNMENT OF INDIA
MINISTRY OF CORPORATE AFFAIRS**

ROC Ahmedabad

Registrar Of Companies, ROC Bhavan , Opp Rupal Park Society, Behind Ankur Bus Stop, Naranpura, Ahmedabad, Gujarat,
India, 380013

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Order ID: PO/ADJ/06-2025/AD/00431

Dated: 18/06/2025

ORDER FOR ADJUDICATION OF PENALTY UNDER SECTION 454 OF THE COMPANIES ACT, 2013 ('THE ACT') FOR VIOLATION OF SECTION 137(3) OF THE COMPANIES ACT, 2013.

A. Appointment of Adjudicating Officer:

Ministry of Corporate Affairs vide its Gazette notification number S.O. 831(E) dated 24/03/2015 appointed undersigned as Adjudicating Officer in exercise of the powers conferred by section 454 of the Companies Act, 2013 [herein after known as Act] read with Companies (Adjudication of Penalties) Rules, 2014 for adjudging penalties under the provisions of this Act..

B. Individual details:

In the matter relating to KAMALKISHORE PURSHOTAMDAS HADA [herein after known as individual] having DIN 00031310 and having its address at CJ 154 SALT LAKE CITY, SECTOR 2 CJ 154 SALT LAKE CITY, SECTOR 2 Kolkata West Bengal India

In the matter relating to GAGAN NIRMALKUMAR MITTAL [herein after known as individual] having DIN 00593377 and having its address at 32, GOLDEN TULIP BUNGLOWS 32, GOLDEN TULIP BUNGLOWS Ahmedabad Gujarat India

In the matter relating to NIRMALKUMAR MANGALCHAND MITTAL [herein after known as individual] having DIN 01528758 and having its address at 32, GOLDEN TULIP BUNGLOWS 32, GOLDEN TULIP BUNGLOWS Ahmedabad Gujarat India

In the matter relating to RITESH KAMALKISHORE HADA [herein after known as individual] having DIN 01919749 and having its address at 38, Golden Tulip Bungalows 38, Golden Tulip Bungalows Ahmedabad Gujarat India

C. Provisions of the Act: (3) If a company fails to file the copy of the financial statements under sub-section (1) or sub-section (2), as the case may be, before the expiry of the period specified therein, the company shall be liable to a penalty of ten thousand rupees and in case of continuing failure, with a further penalty of one hundred rupees for each day during which such failure continues, subject to a maximum of two lakh rupees, and the managing director and the Chief Financial Officer of the company, if any, and, in the absence of the managing director and the Chief Financial Officer, any other director who is charged by the Board with the responsibility of complying with the provisions of this section, and, in the absence of any such director, all the directors of the company, shall be liable to a penalty of ten thousand rupees and in case of continuing failure, with further penalty of one hundred rupees for each day after the first during which such failure continues, subject to a maximum of fifty thousand rupees.

D. Facts about the case:

1. Default committed by the officers in default/noticee - As per Section 137(1) A copy of the financial statements, including consolidated financial statement, if any, along with all the documents which are required to be or attached to such financial statements under this Act, duly adopted at the annual general meeting of the company, shall be filed with the Registrar within thirty days of the date of annual general meeting in such manner, with such fees or additional fees as may be prescribed. However, the said company had not attached profit and loss statement with AOC-4 for the financial year 2014-15, which contravened Section 137(1) of the Companies Act. The company has stated in adjudication application that they attach full financial statements along with balance sheet and profit and loss account with effect from the F.Y. 2015-16. Thus, default was made good during the financial year 2015-16.

2. As per the request made by the company/Officers hearing was provided on 11.06.2025

E. Order:

1. The Company and officers have submitted suo-moto application. Therefore, I hereby imposed penalty on company and its KMPs

2. The details of penalty imposed on the company, officers in default and others are shown in the table below:

(A)	Name of person on whom penalty imposed (B)	Rectification of Default required (C)	Penalty Amount (D)	Additional Penalty (E) (*Per day of continuing default i.e. date of rectification of default less order issue date)	Maximum limit for Penalty (F)
1	UNITED TECHFAB LIMITED having CIN as U17120GJ2012P LC069888		83100	0	200000
2	KAMALKISHORE PURSHOTAMDA S HADA having DIN as 00031310		50000	0	50000
3	GAGAN NIRMALKUMAR MITTAL having DIN as 00593377		50000	0	50000
4	NIRMALKUMAR MANGALCHAND MITTAL having DIN as 01528758		50000	0	50000
5	RITESH KAMALKISHORE HADA having DIN as 01919749		50000	0	50000



3. The notified officers in default/noticee shall rectify the default mentioned above and pay the penalty, so applicable within 90 days of receipt of the order.
4. The notified officers in default/noticee shall pay the penalty amount via 'e-Adjudication' facility which can be accessed through the respective login IDs on the website of Ministry of Corporate Affairs and upload the copy of paid challan / SRN of e-filing (if applicable) on the 'e-Adjudication' portal itself. It is also directed that the penalty so imposed upon the officers in default shall be paid from their personal sources/income.
5. Appeal against this order may be filed in writing with the Regional Director, RD Ahmedabad within a period of sixty days from the date of receipt of this order, in Form ADJ setting for the grounds of appeal and shall be accompanied by a certified copy of this order [Section 454 (5) & 454 (6) of the Act, read with Companies (Adjudication of Penalties) Rules, 2014].
6. For penal consequences of non-payment of penalty within the prescribed time limit, please refer Section 454(8) of the Companies Act, 2013.

Keerthi Narayana,
Registrar of Companies
ROC Ahmedabad

To,

1. UNITED TECHFAB LIMITED, Survey No. 416 Survey No. 416 Dascroi Ahmedabad Gujarat India ,
info@unitedpolyfab.com

2. KAMALKISHORE PURSHOTAMDAS HADA, CJ 154 SALT LAKE CITY,SECTOR 2 CJ 154 SALT LAKE CITY,SECTOR 2
Kolkata West Bengal India , kkhada2016@gmail.com

3. GAGAN NIRMALKUMAR MITTAL, 32, GOLDEN TULIP BUNGLOWS 32, GOLDEN TULIP BUNGLOWS Ahmedabad
Gujarat India , gmittal@unitedpolyfab.com

4. NIRMALKUMAR MANGALCHAND MITTAL, 32, GOLDEN TULIP BUNGLOWS 32, GOLDEN TULIP BUNGLOWS
Ahmedabad Gujarat India , mittalnirmalkumar@gmail.com

5. RITESH KAMALKISHORE HADA, 38, Golden Tulip Bunglows 38, Golden Tulip Bunglows Ahmedabad Gujarat India ,
acchod@unitedworld.in